



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/693,437	10/20/2000	Kerry Mok	426882001800

Morrison & Foerster LLP
425 Market Street
San Francisco, CA 94105-2482



FORMALITIES LETTER



OC000000005624862

Date Mailed: 12/18/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$916.
 - \$756 for 42 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1756.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

02/05/2001 GTEFFERA 00000087 09693437

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	756.00 OP
04 FC:102	160.00 OP

SECTOR

PATENT
Docket No. 426882001800

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on January 29, 2001.

Michelle Fissel
Michelle Fissel



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Kerry MOK et al.

Serial No.: 09/693,437

Filing Date: October 20, 2000

For: METHOD AND SYSTEM FOR
FACILITATING A TRUSTED ON-LINE
TRANSACTION BETWEEN
BUSINESSES AND NETWORKED
CONSUMERS

Examiner: To Be Assigned

Group Art Unit: 2161

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b) dated December 18, 2000, attached please find:

- ☒ A copy of the Notice to File Missing Parts of Nonprovisional Application (1 page).
- ☒ A Declaration For Utility Patent Application (2 pages).
- ☒ A Power of Attorney and Prosecution by Assignee Under 37 C.F.R. § 3.71 (3 pages).
- ☒ Certificate Under 37 C.F.R. § 3.73 (b) (1 page) with attached copy of Assignment Document (2 pages).
- ☒ Request for a Corrected Filing Receipt (2 pages) with attached marked-up Filing Receipt (3 pages).
- ☒ Other: Return receipt postcard.

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	62 - 20 =	42	x \$18.00	\$756.00
INDEPENDENT CLAIMS	5 - 3 =	2	x \$80.00	\$160.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$0.00
			BASIC FEE	\$710.00
TOTAL OF ABOVE CALCULATIONS =				\$1626.00
SURCHARGE FOR FILING MISSING PARTS				\$130.00
			TOTAL =	\$1756.00

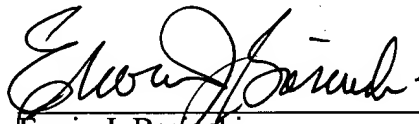
☒ A check in the amount of \$1756.00 is attached.

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 03-1952.**

Respectfully submitted,

Dated: January 29, 2001

By:



Erwin J. Basinski
Registration No. 34,773

Morrison & Foerster LLP
425 Market Street
San Francisco, California 94105-2482
Telephone: (415) 268-7144
Facsimile: (415) 268-7522



PTO/SB/96 (10-92)

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Docket No. 426882001800

In the application of: Kerry MOK et al.
Serial No.: 09/693,437
Filed: October 20, 2000
For: METHOD AND SYSTEM FOR FACILITATING A TRUSTED ON-LINE TRANSACTION
BETWEEN BUSINESSES AND NETWORKED CONSUMERS

Andersen Consulting Singapore Pte. Ltd., a Singapore corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From : *
To: *
The document was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.
2. From : *
To: *
The document was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.
3. From : *
To: *
The document was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

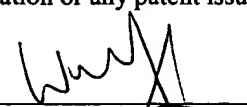
☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

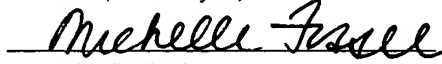
Dated: 12/29/00

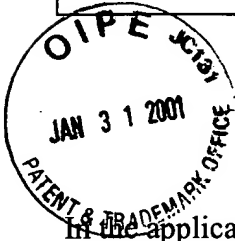

Name: Willie Cheng
Title: Country Managing Partner

PATENT
Docket No. 426882001800

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Assistant Commissioner for Patents, Washington, D.C. 20231, on January 29, 2001.


Michelle Fissel



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Kerry MOK et al.

Serial No.: 09/693,437

Filing Date: October 20, 2000

For: METHOD AND SYSTEM FOR
FACILITATING A TRUSTED ON-LINE
TRANSACTION BETWEEN
BUSINESSES AND NETWORKED
CONSUMERS

Examiner: To Be Assigned

Group Art Unit: 2161

REQUEST FOR A CORRECTED FILING RECEIPT

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

Under Applicants

Next to Ng Kuo Pin, please change "Lingapore" to --Singapore--.

In the Title

Please change "consurners" to -- consumers--.

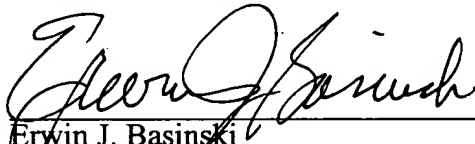
A copy of the marked-up Filing Receipt is attached.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: January 29, 2001

By:



Erwin J. Basinski
Registration No. 34,773

Morrison & Foerster LLP
425 Market Street
San Francisco, California 94105-2482
Telephone: (415) 268-7144
Facsimile: (415) 268-7522



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/693,437	10/20/2000	2161	0	426882001800	18	62	5

Morrison & Foerster LLP
425 Market Street
San Francisco, CA 94105-2482



FILING RECEIPT



OC000000005624861

Date Mailed: 12/18/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kerry Mok, Singapore, SINGAPORE;
Kwek So Cheer, Singapore, SINGAPORE;
Ng Kuo Pin, ~~Singapore~~, SINGAPORE; **Singapore**
Robert Chew, Singapore, SINGAPORE;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/15/2000

DOCKETED

Title

Method and system for facilitating a trusted on-line transaction between businesses and
networked ~~consumers~~
consumers

Preliminary Class

705

Data entry by : WASHINGTON, KAREN

Team : OIPE

Date: 12/18/2000



RECEIVED

DEC 22 2000

MJW
12/15/00

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

